

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

PACIFIC RIVERS COUNCIL,

No. 2:05-cv-00953-MCE-GGH

Plaintiff,

v.

MEMORANDUM AND ORDER

UNITED STATES FOREST SERVICE;
MARK REY, in his official
capacity as Under Secretary of
Agriculture, DALE BOSWORTH, in
his capacity as Chief of the
United States Forest Service,
JACK BLACKWELL, in his official
capacity as Regional Forester,
Region 5, United States Forest
Service,

Defendants.

and

CALIFORNIA FORESTRY ASSOCIATION
et al., QUINCY LIBRARY GROUP,
an unincorporated citizens groups;
PLUMAS COUNTY; and CALIFORNIA
SKI INDUSTRY ASSOCIATION,

Defendant-Intervenors.

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1 Through this lawsuit, Plaintiff Pacific Rivers Council
2 ("Plaintiff") challenges the 2004 Sierra Nevada Forest Plan
3 Amendment ("SNFPA"), commonly known as the 2004 Framework, on
4 grounds that it violates the National Environmental Policy Act of
5 1969 ("NEPA") by failing to adequately analyze the direct,
6 indirect, and cumulative impacts entailed by implementation of
7 the Framework. Plaintiff additionally contends that the 2004
8 Framework runs counter to the provisions of the Administrative
9 Procedures Act ("APA"), claiming that the changes it makes in
10 management of the forests contained within the Sierra Nevada
11 region are not supported by the record. Defendants are the
12 United States Forest Service and several federal officials who
13 had roles in promulgating the 2004 Framework and adjudicating
14 Plaintiff's appeal (hereinafter collectively referred to as
15 "Defendants"). Presently before the Court are cross motions for
16 summary judgment filed on behalf of both the Plaintiff and
17 Defendants.

18 19 **FACTUAL BACKGROUND** 20

21 The Sierra Nevada region contains approximately 11.5 million
22 acres of National Forest Service land with eleven National
23 Forests. Within that region, there are "dozens of complex
24 ecosystems each with numerous, inter-connected social, economic
25 and ecological components." SNFPA 1920. Those ecosystems
26 include numerous watersheds supporting diverse habitats --
27 rivers, streams, lakes, ponds, wetlands and riparian areas that
28 are home to a rich array of native aquatic species.

1 In the late 1980s, the Forest Service began developing a
2 comprehensive strategy for managing the myriad resources found
3 within the region. In 1995, the Regional Forester for the
4 Pacific Southwest Region of the Forest Service issued a draft
5 Environmental Impact Statement ("EIS") outlining its management
6 proposal. SNFPA 229.¹ Additionally, in 1996, the United States
7 Congress sponsored a comprehensive scientific and socioeconomic
8 analysis of the Sierra Nevada which culminated in the so-called
9 Sierra Nevada Ecosystem Report ("SNEP Report").

10 After extensive public participation and the preparation of
11 a Final EIS which responded to public concerns, the Regional
12 Forester issued, in 2001, a Record of Decision ("ROD") which
13 adopted management objectives in five major areas: old forest
14 ecosystems; aquatic, riparian, and meadow ecosystems; fire and
15 fuels; noxious weeds; and hardwood ecosystems on the lower
16 westside of the Sierras. Id. at 231-35. Among the more
17 difficult issues confronted by the ROD was striking the
18 appropriate balance between excessive fuel buildups as a result
19 of decades of fire repression and conserving key habitat for
20 wildlife species dependent on old forest environments. The 2001
21 ROD included a network of "old forest emphasis areas" which
22 consisted of approximately 40 percent of all the national forest
23 land in the Sierra Nevada region. The purpose was to provide a
24 contiguous network of old forest ecosystems which were conducive
25 to species preferring such habitat such as the California Spotted
26 Owl, the American Marten and the Pacific Fisher. SNFPA 236.

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28 ¹ Documents found within the first eight-volume record are
cited as SNFPA, followed by the Bates-stamp number.

1 Aside from other areas slated for specific treatment (such as the
2 limited "urban wildland intermix" which was designed to create a
3 buffer between developed areas and the forest), the 2001
4 Framework specified a "general forest" land allocation intended
5 to increase the density of large old trees and the continuity and
6 distribution of old forests across the landscape. SNFPA 236-37.

7 The 2001 Framework also included a comprehensive Aquatic
8 Management Strategy ("AMS") which consisted of a set of
9 management goals, standards and guidelines to improve aquatic
10 habitats throughout the Sierra Nevada. SNFPA 00292. Riparian
11 Conservation Objectives ("RCOs")² were identified for purposes of
12 evaluating whether proposed activities were consistent with
13 desired conditions described by AMS goals. SNFPA 00295-00296.
14 Additionally, two land allocations, Riparian Conservation Areas
15 ("RCAs") and Critical Aquatic Refuges ("CARs") were reserved for
16 purposes of preserving, restoring and or enhancing aquatic,
17 riparian and meadow ecosystems in order to protect habitat for
18 species using those areas. SNFPA 00292-00296.

19 In order to protect old forest conditions within its
20 specific areas of emphasis, the 2001 Framework generally
21 prohibited logging that would remove trees over 12 inches in
22 diameter or logging that would reduce canopy cover by more than
23 10 percent. SNFPA 328.

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27 ² Riparian Conservation Objectives "...provide a checklist
28 for evaluating whether a proposed activity is consistent with the
desired conditions described by the AMS goals." Each RCO has
associated standards and management guidelines. SNFPA 00295.

1 Even within the "general forest" areas, the 2001 Framework
2 prohibited logging of trees over 20 inches in diameter. SNFPA
3 336. It was only within the intermix zones that no canopy
4 restrictions were imposed and logging of trees up to 30 inches
5 was permitted. SNFPA 333, 315.

6 Although the Forest Service ultimately affirmed adoption of
7 the 2001 ROD despite receipt of approximately 200 administrative
8 appeals, it nonetheless directed the Regional Forester to conduct
9 an additional review with respect to specific concerns like
10 wildfire risk and the Forest Service's responsibilities under the
11 Herger-Feinstein Quincy Library Group Forest Recovery Act ("HFQLG
12 Act"), a congressional mandate which established a Pilot Program
13 for fire suppression through a combination of fire breaks, group
14 selection logging and individual logging. SNFPA 1918. A
15 management review team was assembled by the Regional Forester for
16 this purpose.

17 In March 2003, the team concluded that the 2001 ROD's
18 "cautious approach" to active fuels management had limited its
19 effectiveness in many treatment areas. The management review
20 team further found that revisions to vegetation management rules
21 would decrease flammable fuels while protecting critical wildlife
22 habitat by guarding against the risk of stand-replacing wildfire.
23 See SNFPA 1918, 1926. Moreover, with respect to the California
24 Spotted Owl ("CASPO" or "owl"), the team felt that the 2001 ROD
25 had unnecessarily "took a worst case approach to estimating
26 effects" on the owl.

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1 SNFPA 1968.³ In addition to citing recent research indicating
2 that habitat losses resulting from fuel treatments were less than
3 previously believed, the team further found that the 2001 ROD's
4 extensive reliance on maintaining extensive canopy cover was
5 impracticable to implement.

6 Following receipt of the team's findings, the Regional
7 Forester ordered that management strategy alternatives in
8 addition to those considered in the 2001 FEIS be considered. A
9 draft supplemental environmental impact statement ("DSEIS") was
10 thereafter released to the public in January 2004. While the
11 same five areas of concern were targeted in the DSEIS as in its
12 2001 predecessor, in 2004 a new action alternative was identified
13 (Alternative S2), in addition to the alternative selected by the
14 2001 Framework (Alternative S1) and the seven alternatives that
15 had previously been considered before adoption of the 2001
16 Framework (Alternatives F2-F8).⁴

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21 ³ The 2001 Framework's CASPO analysis was largely predicated
22 on a July 1992 report (the "CASPO Report") that recommended
23 establishment of a 300-acre Protected Activity Center ("PAC")
24 around all known owl nest sites, a complete prohibition of
25 logging within the PACs, more limited logging prohibition of
26 trees over 30 inches in diameter in all habitat suitable for owl
27 nesting and foraging, and a prohibition on logging that would
28 reduce canopy cover below 40 percent in owl nesting habitat.
SNFPA 1037-40.

26 ⁴ The DSEIS also considered seven additional alternatives in
27 addition to those considered in detail but eliminated the seven
28 from extensive consideration because they were found to be
inconsistent with the purpose and need of the DSEIS. SNFPA 3163-
65.

1 Following the public comment period after dissemination of the
2 DSEIS, the SEIS in final form also included responses to various
3 issues raised, including comments by the United States Fish and
4 Wildlife Service, by the United States Environmental Protection
5 Agency, by California resources protection agencies, and by the
6 Science Consistency Review ("SCR") team.⁵

7 With respect to aquatic species, the 2004 ROD employ the
8 same Aquatic Management Strategy as the 2001 Framework, with a
9 few exceptions as explained in the SEIS. SNFPA 3277-3285; SNFPA
10 3000 (the 2004 ROD retains "Critical Aquatic Refuges, the
11 Riparian Conservation Areas, and the goals of the Aquatic
12 Management Strategy"); SNFPA 3052-3056 (describing standards and
13 guidelines). Similar to the 2001 Framework, the comprehensive
14 AMS of the 2004 Framework requires management of RCAs to
15 "preserve, enhance and restore habitat for riparian and aquatic-
16 dependent species; ensure that water quality is maintained or
17 restored; enhance habitat conservation for species associated
18 with the transition zones between upslope and riparian areas; and
19 provide greater connectivity with watersheds." SNFPA 3280.

20 The 2004 Framework also specifies that road construction and
21 reconstruction must meet several best management practices
22 ("BMPs") in order to protect watersheds: 1) design new stream
23 crossings and replace stream crossings to withstand at least a
24 100-year flood;

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27 ⁵ The SCR consisted of eleven scientists convened by the
28 Pacific Southwest Research Station in Davis, California, and
included experts in fire and fuels management, forest ecology,
and species viability. SNFPA 3503.

1 2) design stream crossings to minimize the diversion of
2 streamflow out of the channel and down the road in the event of a
3 crossing failure; 3) design stream crossings to minimize
4 disruption to natural hydrologic flow paths, including the
5 diversion of streamflow and interception of surface and
6 subsurface water; 4) avoid wetlands or minimize effects to
7 natural flow patterns in wetlands; and 5) avoid road construction
8 in meadows. SNFPA 3049. The 2004 Framework further outlines
9 management standards and guidelines for fire and fuels
10 management, SNFPA 3039-3040, mechanical thinning treatments,
11 SNFPA 3040-41, salvage harvest, SNFPA 3042-3043, and hardwood
12 management, SNFPA 3043.

13 By adopting the SEIS on January 21, 2004, the Regional
14 Forester replaced the 2001 ROD with its 2004 successor and
15 amended the forest plans for all eleven national forests situated
16 in the Sierra Nevada. SNFPA 2987-3061. The 2004 ROD reasoned
17 that the 2001 Framework "prescribed technical solutions that do
18 not produce needed results, or offered methods we often dare not
19 attempt in the current Sierra Nevada." SNFPA 2995. The 2004
20 Framework reasoned that the methods as adopted in 2001 fail to
21 reverse the damage, and growing threat, of catastrophic fires
22 quickly enough. Id.

23 The Chief of the Forestry Service ultimately affirmed the
24 2004 ROD,⁶ with the direction that details of the ROD's adaptive
25 management be submitted to him within six months.

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27 ⁶ In so affirming, Forest Service Chief Dale Bosworth denied
28 6,241 separate administrative appeals of the 2004 Framework.
SNFPA 3998.

1 SNFPA 3997-4305. The Regional Forester submitted that
2 supplemental information to the Chief on March 31, 2005.

3 Through the present lawsuit, Plaintiff alleges that the 2004
4 Framework as ultimately adopted runs afoul of both the APA and
5 NEPA on a programmatic basis. Specifically, Plaintiff contends
6 that the 2004 Framework violates the APA because it failed to
7 include a reasoned analysis for changing the approach advocated
8 by its predecessor, the 2001 Framework. Moreover, Plaintiff also
9 argues that the 2004 Framework runs afoul of NEPA because it was
10 adopted without adequate disclosure of its significant
11 environmental impacts.

12 13 **PROCEDURAL FRAMEWORK** 14

15 Congress enacted NEPA in 1969 to protect the environment by
16 requiring certain procedural safeguards before an agency takes
17 action affecting the environment. The NEPA process is designed
18 to "ensure that the agency ... will have detailed information
19 concerning significant environmental impacts; it also guarantees
20 that the relevant information will be made available to the
21 larger [public] audience." Blue Mountains Biodiversity Project
22 v. Blackwood, 171 F.3d 1208, 121 (9th Cir. 1998). The purpose of
23 NEPA is to "ensure a process, not to ensure any result." Id.
24 "NEPA emphasizes the importance of coherent and comprehensive up-
25 front environmental analysis to ensure informed decision-making
26 to the end that the agency will not act on incomplete
27 information, only to regret its decision after it is too late to
28 correct."

1 Center for Biological Diversity v. U.S. Forest Serv., 349 F.3d
2 1157, 1166 (9th Cir. 2003). Complete analysis under NEPA also
3 assures that the public has sufficient information to challenge
4 the agency's decision. Robertson v. Methow Valley Citizens, 490
5 U.S. 332, 349 (1989); Idaho Sporting Cong. v. Thomas, 137 F.3d
6 1146, 1151 (9th Cir. 1998).

7 NEPA requires that all federal agencies, including the
8 Forest Service, prepare a "detailed statement" that discusses the
9 environmental ramifications, and alternatives, to all "major
10 Federal Actions significantly affecting the quality of the human
11 environment." 42 U.S.C. § 4332(2)(c). An agency must take a
12 "hard look" at the consequences, environmental impacts, and
13 adverse environmental effects of a proposed action within an
14 environmental impact statement ("EIS"), when required. Kleppe v.
15 Sierra Club, 427 U.S. 390, 410, n.21 (1976).

16 Given its status as a statutory scheme intended to safeguard
17 procedure rather than substance,⁷ NEPA does not mandate that an
18 EIS be based on a particular scientific methodology, nor does it
19 require a reviewing court to weigh conflicting scientific data.
20 Friends of Endangered Species, Inc. v. Jantzen, 760 F.2d 976, 986
21 (9th Cir. 1985). An agency must be given discretion to rely on
22 the reasonable opinions of its own qualified experts, even if the
23 court might find contrary views more persuasive. See, e.g.,
24 Kleppe, 427 U.S. at 420, n. 21.

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26 ⁷ The National Forestry Management Act ("NFMA"), 16 U.S.C.
27 § 1600 et seq, provides for substantive, as opposed to procedural
28 protection with regard to actions that affect the environment.
Plaintiff has not alleged any violation of the NFMA through this
lawsuit.

1 NEPA does not allow an agency to rely on the conclusions and
2 opinions of its staff, however, without providing both supporting
3 analysis and data. Idaho Sporting Cong., 137 F.3d at 1150.

4 Credible scientific evidence that contraindicates a proposed
5 action must be evaluated and disclosed. 40 C.F.R. § 1502.9(b).

6 Because NEPA itself contains no provisions allowing a
7 private right of action (see Lujan v. National Wildlife
8 Federation, 497 U.S. 871, 882 (1990)), a party can obtain
9 judicial review of alleged violations of NEPA only under the
10 waiver of sovereign immunity contained within the Administrative
11 Procedure Act ("APA"), 5 U.S.C. §§ 701-706. Earth Island
12 Institute v. U.S. Forest Serv., 351 F.3d 1291, 1300 (9th Cir.
13 2005).

14 Under the APA, the court must determine whether, based on a
15 review of the agency's administrative record, agency action was
16 "arbitrary and capricious," outside the scope of the agency's
17 statutory authority, or otherwise not in accordance with the law.
18 Salmon River Concerned Citizens v. Robertson, 32 F.3d 1346, 1356
19 (9th Cir. 1994). Review under the APA is "searching and
20 careful." Ocean Advocates, 361 F.3d at 1118. However, the
21 court may not substitute its own judgment for that of the agency.
22 Id. (citing Citizens to Preserve Overton Park, Inc. v. Volpe, 401
23 U.S. 402 (1971), overruled on other grounds by Califano v.
24 Sanders, 430 U.S. 99 (1977)).

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1 In reviewing an agency's actions, the standard to be
2 employed is decidedly deferential to the agency's expertise.
3 Salmon River, 32 F.3d at 1356. Although the scope of review for
4 agency action is accordingly limited, such action is not
5 unimpeachable. The reviewing court must determine whether there
6 is a rational connection between the facts and resulting judgment
7 so as to support the agency's determination. Baltimore Gas and
8 Elec. v. NRDC, 462 U.S. 87, 105-06 (1983), citing Bowman Trans.
9 Inc. v. Arkansas-Best Freight Sys. Inc., 419 U.S. 281, 285-86
10 (1974). An agency's review is arbitrary and capricious if it
11 fails to consider important aspects of the issues before it, if
12 it supports its decisions with explanations contrary to the
13 evidence, or if its decision is either inherently implausible or
14 contrary to governing law. The Lands Council v. Powell, 395 F.3d
15 1019, 1026 (9th Cir. 2005).

16 17 STANDARD

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19 Summary judgment is an appropriate procedure in reviewing
20 agency decisions under the dictates of the APA. See, e.g.,
21 Northwest Motorcycle Assn. v. U.S. Dept. Of Agric., 18 F.3d 1468,
22 1471-72 (9th Cir. 1994). Under Federal Rule of Civil Procedure
23 56, summary judgment may accordingly be had where, viewing the
24 evidence and the inferences arising therefrom in favor of the
25 nonmovant, there are no genuine issues of material fact in
26 dispute." Id. at 1472.

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1 In cases involving agency action, however, the court's task "is
2 not to resolve contested facts questions which may exist in the
3 underlying administrative record", but rather to determine
4 whether the agency decision was arbitrary and capricious as
5 defined by the APA and discussed above. Gilbert Equipment Co.,
6 Inc. v. Higgins, 709 F. Supp. 1071, 1077 (S.D. Ala. 1989); aff'd,
7 Gilbert Equipment Co. Inc. v. Higgins, 894 F.2d 412 (11th Cir.
8 1990); see also Occidental Eng'g Co. v. INS, 753 F.2d 766, 769
9 (9th Cir. 1985). Consequently, in reviewing an agency decision,
10 the court must be "searching and careful" in ensuring that the
11 agency has taken a "hard look" at the environmental consequences
12 of its proposed action. Ocean Advocates v. U.S. Army Corps of
13 Engineers, 402 F.3d 846, 858-59 (9th Cir. 2005); Or. Natural
14 Res. Council v. Lowe, 109 F.3d 521, 526 (9th Cir. 1997).

15 ANALYSIS

16 I. NEPA CLAIMS

17 A. Plaintiff has preserved its NEPA Claims by adequately 18 raising them in the administrative review process. 19

20 Defendants first take issue with Plaintiff's NEPA claims on
21 grounds that Plaintiff failed to raise many of the objections it
22 now asserts to the 2004 Framework during the public comment
23 period prior to the Framework's adoption. Defendants are correct
24 in asserting that a failure to raise specific objections during
25 that period results in a waiver of objections subsequently made.

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1 See Dep't of Public Transp. v. Pub. Citizen, 541 U.S. 752, 764-
2 765 (2004) (the failure to raise "particular objections" in a
3 parties comments results in a forfeiture of those objections);
4 Vermont Yankee Nuclear Power v. NRDC, 435 U.S. 519, 553-54
5 (1978). Moreover, "persons challenging an agency's compliance
6 with NEPA must 'structure their participation so that it...
7 alerts the agency to the [parties'] position and contentions,' in
8 order to allow the agency to give the issue meaningful
9 consideration. Pub. Citizen, 541 U.S. at 764 (quoting Vermont
10 Yankee, 435 U.S. at 553).

11 Defendants allege that while Plaintiff properly submitted
12 comments in response to the 2004 Framework Draft SEIS, it failed
13 to include any discussion of direct and indirect effects on fish
14 and amphibian species from logging and prescribed burning
15 activities, deficiencies it now raises here as NEPA violations in
16 the First Cause of Action. Defendants consequently claim that
17 because the Forest Service's opportunity to examine and respond
18 to Plaintiff's objections was thereby eliminated, the objections
19 it raises now with respect to fish and amphibian species must be
20 forfeited. In addition, Intervenor-Defendant California Forestry
21 Association alleges that Plaintiff did not meaningfully alert
22 decisionmakers to the alleged NEPA inadequacies concerning
23 timber harvesting/thinning, grazing and mitigation.

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1 A review of both Plaintiff's 2004 Framework comments and its
2 administrative appeal reveals these contentions are misplaced.
3 First, in its initial response to the draft SEIS, Plaintiff
4 expressed the concern that logging, fuels treatments, and road
5 construction/use will all have adverse impacts to aquatic and
6 riparian systems and ecosystems, thereby alerting the Forest
7 Service to Plaintiff's concerns. See SNFPA 3596, Public Concern
8 4.19. Plaintiff's administrative appeal also addresses concerns
9 regarding the effect on both fish and amphibians from logging
10 grazing, fuels treatment and road construction on watersheds and
11 riparian areas. PRC 55, 113-14.⁸ As Plaintiff points out, it
12 even submitted a 28-page review of published scientific papers
13 and journal articles that address logging, prescribed burning and
14 the impact of these activities on aquatic ecosystems, including
15 stream temperatures. PRC 118-46.

16 Significant, too, is the fact that Plaintiff's Framework
17 comments and appeal both incorporate by reference earlier
18 commentary submitted by Plaintiff during the process which
19 ultimately adopted the 2001 Framework, and offered to resubmit
20 hard copies of any of those comments at the Forest Service's
21 request. The previous commentary also addressed the Forest
22 Service's purported failure to adequately analyze the impacts of
23 logging, prescribed burning and road construction on aquatic and
24 riparian habitat. PRC at 51-59.

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26 ⁸ References to "PRC", followed by a bates-stamped number,
27 refer to portions of Plaintiff's comments and appeal submitted in
28 connection with both the 2004 and 2001 Frameworks and are
attached as Ex. "A" to Plaintiff's Excerpts of Record filed with
this Court.

1 Finally, despite California Forestry Association's arguments to
2 the contrary, Plaintiff did specifically discuss Defendants'
3 alleged failure to consider mitigation measures through
4 incorporation by reference in its administrative appeal. PRC 52,
5 102-103.

6 Given this participation at various stages of administrative
7 review, the Court finds that the Forest Service was provided
8 adequate notice as to the nature of the NEPA claims Plaintiff
9 presently makes in this lawsuit. Consequently Defendants'
10 procedural challenge in that regard is rejected.

11 The Court is, however, persuaded by another procedural
12 argument advanced with regard to the admissibility of the post-
13 decisional litigation declaration of Jonathan J. Rhodes offered
14 by Plaintiff in support of its Motion. While the Framework was
15 adopted in a January 1, 2004 ROD, the Rhodes declaration is dated
16 October 1, 2005 and cites to materials dating from late 2004.
17 The APA, however, which provides for review under NEPA, limits
18 the scope of judicial review to the record before the agency at
19 the time it made its decision. 5 U.S.C. § 706. Because the
20 Rhodes Declaration was not part of that initial record, it cannot
21 be considered in determining whether the Framework is arbitrary.
22 Southwest Ctr. For Biological Diversity v. U.S. Forest Serv., 100
23 F.3d 1443, 1450 (9th Cir. 1996). Instead, "the focal point for
24 judicial review should be the administrative record already in
25 existence, not some new record made initially in the reviewing
26 court." Camp v. Pitts, 411 U.S. 138, 142 (1973).

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1 While Plaintiff argues that the Rhodes Declaration provides
2 further support for its contention that the FSEIS failed to
3 adequately analyze the impacts of the 2004 Framework on aquatic
4 ecosystems and associated species, particularly through road
5 construction, it fails to show why it could not have submitted
6 such information earlier. See United States v. LA Tucker Truck
7 Lines, Inc., 344 U.S. 33, 37 (1952). Consequently the Rhodes
8 Declaration will be disregarded.

9
10 **B. The Forest Service did take the requisite "hard look"**
11 **at the direct and indirect effects to aquatic**
12 **ecosystems for purposes of complying with NEPA.**

13 In its First Cause of Action, Plaintiff alleges that the
14 Forest Service's adoption of the 2004 Framework violated NEPA by
15 inadequately analyzing the direct and indirect impacts of
16 contemplated logging, prescribed burning, skid trails and log
17 landing construction on fish, aquatic and amphibian species.
18 Pl.'s Compl., ¶¶ 81, 83, 84. Similarly, in the Third Cause of
19 Action, Plaintiff asserts the Forest Service neglected to
20 adequately consider the effects of the entire road system and
21 road management actions proposed under the 2004 Framework. Pl.'s
22 Compl, ¶¶ 106, 111.

23 As indicated above, NEPA only requires that federal agencies
24 like the Forest Service establish a consistent process for
25 considering environmental impacts, and take a "hard look" at the
26 consequences of such impacts. Vermont Yankee Nuclear Power v.
27 NRDC, 435 U.S. at 558.

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1 So long as "the adverse environmental effects of the proposed
2 action are adequately identified and evaluated, the agency is not
3 constrained by NEPA from deciding that other values outweigh the
4 environmental costs." Id.

5 While NEPA requires an evaluation of environmental effects,
6 it imposes no substantive constraints on the Agency's decision
7 making. Robertson v. Methow Valley Citizens, 490 U.S. at 350 (So
8 long as "the adverse environmental effects of the proposed action
9 are adequately identified and evaluated, the agency is not
10 constrained by NEPA from deciding that other values outweigh the
11 environmental costs"); Salmon River Concerned Citizens v.
12 Robertson, 32 F.3d 1346, 1356 (9th Cir. 1994) NEPA "does not
13 dictate a substantive environmental result"). NEPA even presumes
14 that agencies will have a preferred action, requiring only that
15 impacts be evaluated objectively and in good faith. See 40
16 C.F.R. § 1502.14(3) (requiring identification of agency's
17 preferred alternative); Metcalf v. Daley, 214 F.3d 1135, 1142
18 (9th Cir. 2001) ("NEPA assumes as inevitable an institutional
19 bias within an agency proposing a project....").

20 Judicial review under NEPA cannot extend to the substantive
21 need for, or desirability of, a particular policy like increased
22 protection against wildfires or heightened protection for
23 wildlife. See Mobil Oil Expl. & Prod. Southeast, Inc. v. United
24 Dist. Cos., 498 U.S. 211, 230-31 (1991); Vermont Yankee Nuclear
25 Power Corp. v. NRDC, 435 U.S. at 541-48; Personal Watercraft
26 Ass'n v. Dept. of Commerce, 48 F.3d 540, 544-56 (D.C. Cir. 1995).

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1 The Constitution reserves such policy decisions for assessment
2 and determination by the Executive and Legislative branches of
3 government.

4 Here, Plaintiff has identified NEPA violations grounded on
5 allegations that the increased logging and fuels management
6 activities contemplated by the 2004 Framework will
7 adversely affect aquatic and riparian species. Plaintiff points
8 to the fact that such activities can increase erosion and runoff,
9 elevate sedimentation levels, adversely affect water temperatures
10 and riparian microclimate, and alter stream structure and fish
11 habitat. While the FSEIS recognizes these potential dangers (see
12 SNFPA 3281-82), Plaintiff nonetheless argues that the 2004
13 Framework still fail to take the "hard look" at such effects
14 required by NEPA.

15 Specifically, Plaintiff claims that the effects upon native
16 fish species, some of which are listed as endangered
17 or threatened, is not analyzed. Plaintiff further contends that
18 the Framework fails to address how increased construction and use
19 of log skid trails and landings- "the primary potential sources
20 for sediment"- will directly or indirectly impact aquatic
21 ecosystems and associated species. See SNFPA 3281. According to
22 Plaintiff, the FSEIS fails to provide adequate quantification of
23 the risks involved in this regard. Finally, Plaintiff maintains
24 that the 2004 Framework fails to sufficiently consider the
25 effects of increased grazing upon aquatic/riparian dependent
26 species.

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1 In weighing the viability of these claims, the Court must
2 first consider the extent of analysis required given the 2004
3 Framework's unquestioned status as a programmatic, rather than
4 site-specific, EIS.⁹ The level of "detail that NEPA requires
5 depends upon the nature and scope of the proposed action."
6 California v. Block, 690 F.2d 753, 761 (9th Cir. 1982); Northwest
7 Coalition for Alternatives to Pesticides v. Lyng, 844 F.2d 588,
8 592 (9th Cir. 1988). Considerably less detail is required for a
9 programmatic EIS than for a site-specific project. See Resources
10 Ltd., Inc. v. Robertson, 35 F.3d 1300, 1306 (9th Cir. 1994) ("We
11 are convinced that such specific analysis is better done when a
12 specific development action is to be taken, not at the
13 programmatic level."). Whether or not an EIS is part of a multi-
14 level planning process is also relevant, since the level of
15 detail required depends on what stage is involved. See, e.g.,
16 Tribal Village of Akutan v. Hodel, 869 F.2d 1185, 1192 (9th Cir.
17 1988). Forest planning and implementation are properly considered
18 as multi-staged processes. See Ohio Forestry Ass'n, Inc. v.
19 Sierra Club, 523 U.S. 726, 729-730 (1998).

20 In assessing land use management plans like the 2004
21 Framework, the Ninth Circuit has repeatedly recognized that the
22 level of detail required for a programmatic EIS accompanying such
23 plans is not as great as that required for the analysis of
24 effects for site-specific actions.

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28 ⁹ Plaintiff concedes the programmatic nature of the 2004
Framework SEIS in its Opposition to Defendants' Motion, 1:5-6.

1 See Friends of Yosemite Valley v. Norton, 348 F.3d 789 (9th Cir.
2 2003); Resources Ltd., Inc. v. Robertson, 35 F.3d at 1306; Salmon
3 River Concerned Citizens v. Robertson, 32 F.3d 1346 (9th Cir.
4 1994); California v. Block, 690 F.2d at 761, 765. While a
5 programmatic EIS has to include enough detail to foster informed
6 decision-making, "site-specific impacts need not be fully
7 evaluated until a critical decision has been made to act on site
8 development." Friends of Yosemite Valley, 348 F.3d at 800.,
9 quoting N. Alaska Envtl. Ctr. v. Lujan, 961 F.2d 886, 890-91 (9th
10 Cir. 1992). As a programmatic decision, the 2004 Framework does
11 not make a "critical decision" involving the irretrievable
12 commitment of resources. Resources Ltd, Inc. v. Robertson, 789
13 F. Supp. at 1540.

14 A programmatic forest plan like the 2004 Framework does not
15 authorize the cutting of any trees or other on-the-ground
16 activity. Instead, it only establishes the standards and
17 guidelines under which future projects permitting such harvest
18 could occur. See Ohio Forestry Ass'n, Inc. v. Sierra Club, 523
19 U.S. at 729. This is consistent with the terms of the 2004
20 Framework, which plainly indicates that it does not authorize any
21 actual timber harvest, road construction, log landing or skid
22 trail construction, or grazing. See SNFPA 3014 (the amended
23 plans "do not provide final authorization for any activity").
24 The Framework also unequivocally provides that future site-
25 specific authorization of actual timber harvest would have to
26 comply with NEPA, where effects would be analyzed in more detail
27 according to site-specific factors. See SNFPA 3010, 3690, 4019.
28 ///

1 With respect to road construction, the Forest Service's
2 response to public commentary on the 2004 Framework made this
3 programmatic/site-specific distinction abundantly clear, stating
4 that "actual locations and miles of roadwork will be determined
5 through project-level planning and analysis". SNFPA 3631. The
6 Forest Service went on to explain:

7 The SNFPA FEIS and the FSEIS are programmatic documents
8 and therefore do not propose specific roads. When
9 site-specific projects are proposed, the roads analysis
10 process would analyze the need for public,
11 administrative, and commercial access with the economic
costs and environmental concerns of the road system.
The project level environmental document would display
the direct, indirect, and cumulative costs of any road
proposals.

12 SNFPA 3630.

13 Moreover, the effects of timber harvest in general are
14 simply too site-specific to be meaningfully analyzed at the
15 regional scale of the 2004 Framework. Impacts stemming from the
16 delivery of coarse woody debris ("CWD") to streams following
17 logging, for example, which is important for stabilizing stream
18 channels and furnishing cover for fish, "is difficult at the
19 bioregional scale due to the extreme variability in the condition
20 of [riparian conservation areas] and the relative importance of
21 CWD in maintaining stream channel structure and function." SNFPA
22 3282. Such effects are more meaningfully evaluated in landscape
23 and project-level analyses using individual watershed and site-
24 specific parameters such as "stream width, tree heights,
25 distances from streams, slope steepness", and other factors. Id.
26 In addition, hydrological effects from timber harvesting are
27 subject to further evaluation and appropriate mitigation on a
28 future project basis. SNFPA 3281.

1 The 2004 Framework also recognizes that where timber
2 harvesting effects are too variable or site-specific to lend
3 themselves to detailed, quantitative analysis at the bioregional
4 scale, individual effects are nonetheless subject to scrutiny on
5 a project-by-project basis. See SNFPA 3010, 3690, 4019 (noting
6 that future decisions to authorize timber harvest would have to
7 comply with NEPA).

8 The Court consequently rejects as unwarranted and unworkable
9 the level of detail Plaintiff advocates as being required in the
10 2004 Framework. Instead, Plaintiff's desire to address
11 environmental impacts "at an early stage" must be "tempered by
12 the preference to defer detailed analysis until a concrete
13 development proposal crystallizes the dimensions of a project's
14 probable environmental consequences." California v. Block, 690
15 F.2d at 761. Having found that only more general analysis of
16 environmental impacts is required in the Framework as a
17 programmatic document, the Court now turns to the specific areas
18 of concern identified by Plaintiff to determine whether NEPA's
19 overall mandate has been satisfied.

20
21 **1. Effects from timber harvest activities.**
22

23 As the FSEIS recognizes, recent fire seasons illustrate the
24 risks from inaction as the number and severity of acres burned in
25 wildfires continues to increase, with tragic losses to
26 communities, their people and resources, as well as to wildland
27 firefighters.

28 ///

1 In terms of acreage, over the last 30 years wildfire in the
2 Sierra Nevada has burned an average of 43,000 acres per year,
3 whereas in the last ten years, that average has risen to 63,000
4 acres per year. SNFPA 3083. To the extent that forests are
5 overstocked and drought conditions are present, an overall lack
6 of sufficient moisture makes the forest drier and not only more
7 susceptible to fire but also prone to insect and disease damage.
8 SNFPA 2996. The Forest Service has the unenviable task of
9 attempting to simultaneously weigh these significant competing
10 considerations with the risks, both long and short term, on fish
11 and animal species.

12 Contrary to Plaintiff's contention, the FSEIS does describe
13 the increased timber harvesting and thinning contemplated by the
14 2004 Framework, along with its likely impacts on aquatic and
15 riparian species and environments. SNFPA 3120-3151, 3277-85;
16 3305-11, 3356-62; 3366-78, 3386-97. Possible impacts from timber
17 harvest are discussed, including runoff water temperatures as
18 well as sedimentation which can result from skid trails and log
19 landings. SNFPA 3281. Effects of fuel treatments on the supply
20 of CWD, which is important for stabilizing stream channels and
21 providing cover for fish, is also analyzed. SNFPA 3282. As
22 indicated above, the Framework is also clear in specifying that
23 further analysis would be conducted at the site-specific project
24 level. SNFPA 3281 (observing that "[l]andscape and project
25 analysis would be used to further evaluate and mitigate possible
26 hydrologic effects on a local scale").

27 ///

28 ///

1 Impacts of timber harvest activities on individual aquatic,
2 riparian and meadow species is also addressed. The Framework's
3 analysis is properly limited to those species likely to be
4 affected by the framework. Because the Yosemite toad's habitat
5 is found in mountain meadow ecosystems, for example, and because
6 logging is not expected to occur in meadows, the SEIS did not
7 specifically evaluate impacts of logging and skid trails to the
8 toad. See SNFPA 3373 (most Yosemite toad populations are found
9 in areas where to road use occurs).¹⁰ Additionally, while
10 Plaintiff contends that the Framework fails to consider its
11 potential impact on a single fish species, an analysis of
12 Framework effects on ten species of fish is found in a July 2003
13 Biological Assessment ("BA") incorporated by reference into the
14 FSEIS. See generally SNFPA 2095-2430; see also SNFPA 3304
15 (incorporating by reference BAs for SEIS and EIS); SNFPA 3487-
16 3488 (referencing 2000 EIS and July 2003 BA for documentation of
17 effect to fishes). The ten fish species analyzed include the
18 Little Kern golden trout, SNFPA 2232-2238; the Lahontan cutthroat
19 trout, SNFPA 2239-2245; the Paiute cutthroat trout, SNFPA 2246-
20 2251; the Central Valley steelhead, SNFPA 2252-2257; the Central
21 Valley spring-run chinook salmon, SNFPA 2258-2264;

22
23 ¹⁰ Significant, too, is the fact that the Yosemite toad is
24 not known to exist in the HFQLG Project area, where much of the
25 logging contemplated by the Framework will take place. Impacts
26 on other toad species also appear to be minimal. The mountain
27 yellow-frog's habitat overlaps with the Yosemite toad, SNFPA
28 3369, populations of the Northern leopard frog are not known to
exist within the national forest lands covered by the Framework,
SNFPA 3370, and reproducing populations of Cascades frogs are
only documented to exist at specific locations in the Lassen
National Forest. See SNFPA 3237, 3377. Consequently the level
of impact analysis (SNFPA 3371-78) to these species appears
appropriate.

1 the Modoc sucker, SNFPA 2265-2266; the Lost River sucker and
2 Shortnose sucker, SNFPA 2267-2269; the Warner sucker, SNFPA 2270-
3 2277; and the Owen's tui club. SNFPA 2231-2235. The July 2003
4 BA discusses these species' general distribution, status,
5 reproductive biology and breeding habitat, diet, general habits
6 use, and further analyzes the Framework's likely direct, indirect
7 and cumulative effect on the species. While the BA is
8 incorporated by reference, such incorporation is deemed adequate
9 by NEPA. See 40 C.F.R. §§ 1500.4, 1502.21; Sierra Club v. Clark,
10 774 F.2d 1406, 1411 (9th Cir. 1985) ("By specifically referring
11 to prior BLM studies and supporting materials, the FEIS fulfilled
12 its informational purpose"). Consequently Plaintiff's contention
13 that the Framework wholly ignored fish species is misplaced and
14 unsupported by the record.

15 To the extent that aquatic species are affected, the
16 Framework contemplates that risks will be reduced through the
17 Application of the... Aquatic Management Strategy" or AMS. SNFPA
18 3169. The Framework directs that projects will include Best
19 Management Practices, or "BMPs, certified by the State Water
20 Resources Control Board and certified by [EPA] to achieve
21 compliance with applicable provisions of water quality plans."
22 SNFPA 3281. According to a scientific study cited by the
23 Framework (MacDonald and Stednick 2003), fuel "treatments could
24 have minimal adverse effects on aquatic ecosystems and water
25 quality if they are carefully designed and implemented according
26 to [BMPs]"). SNFPA 3278. Sediment sources would also be
27 minimized by application of Soil Quality Standards and BMPs, both
28 of which have been demonstrated to be effective. Id.

1 Moreover, the SEIS contains a thorough discussion of the
2 tradeoffs between potential aquatic ecosystem and water quality
3 impacts from fuel management activities and the considerable
4 risks associated with high severity wildfire. See SNFPA 3278-85.
5 Although Plaintiff may disagree with the Forest Service's
6 decision to proceed with 2004 Framework in light of those
7 tradeoffs, that kind of policy disagreement does not give rise to
8 a NEPA violation. See, e.g., Northwest Coalition for Alternatives
9 to Pesticides v. Lynq, 844 F.2d 588, 591 (9th Cir. 1988). The
10 effects of timber harvesting and fuels treatment are adequately
11 addressed for NEPA purposes in the programmatic 2004 Framework.
12

13 2. Road Impact Claims.

14

15 Plaintiff takes particular aim at the 2004 Framework's
16 consideration of impacts from increased road construction and
17 overall road use occasioned by increased logging and fuels
18 treatments, pointing out that roads can deliver more sediment to
19 streams than any other human disturbance in forested
20 environments. SNFPA 3279.

21 Although Plaintiff may be correct that the volume of
22 potential road construction is considerably more in the 2004
23 Framework than its 2001 predecessor, the overall numbers are
24 still relatively small in light of the vast area of forest
25 involved. Over a ten-year period, the 2004 Framework
26 contemplates 115 miles of roads spread out over 11.5 million
27 acres in 11 national forests, in addition to reducing road miles
28 than would be constructed or reconstructed.

1 SNFPA 3084, 3282-83, 3394-95. Therefore, the net impact on road
2 and aquatic ecosystems would appear to be minor.

3 Even more significantly, however, the 2004 Framework, like
4 most forest plans, does not itself make final decisions on
5 constructing or reconstructing roads. See Ohio Forestry Ass'n,
6 Inc. v. Sierra Club, 523 U.S. at 738-39. At the time the 2004
7 Framework was promulgated the location and construction methods
8 for particular road remained unclear, and that uncertainty as to
9 location made it also unclear just how any potential roads would
10 effect specific environmental concerns like stream proximity.
11 Road construction needs as articulated by the programmatic
12 Framework are nothing more than estimates. See SNFPA 3368 ("It
13 has been estimated that up to 100 miles of new road construction
14 may be needed....").

15 NEPA compliance with respect to road construction is best
16 deferred to the site-specific point at which timber sales and
17 road construction decisions are made, as recognized by the
18 Framework. See SNFPA 3010, 3690 4019. The SEIS complies with
19 NEPA's "rule of reason" by generally describing road construction
20 and use impacts at a level reasonable for the programmatic
21 Framework. See SNFPA 3278-85, 3394-97.

22 23 **C. Cumulative Impacts.**

24
25 In its Second Cause of Action, Plaintiff alleges that road
26 use, road construction and timber harvest "cause cumulative
27 effects that must be analyzed in the SEIS." Pl.'s Compl. ¶95.

28 ///

1 Plaintiff's err in contending that these separate components
2 of the 2003 Framework must be analyzed as cumulative impacts.
3 The regulation implementing NEPA define a cumulative impact as
4 "the impact on the environment which results from the incremental
5 impact of the action when added to other past, present, and
6 reasonably foreseeable actions....." 40 C.F.R. § 1508.7 (emphasis
7 added). This makes it clear that cumulative impacts necessarily
8 involve consideration of the effects of other actions, and not
9 those caused by activities contemplated within the proposed
10 action itself. See Blue Mountains Biodiversity Project v.
11 Blackwood, 161 F.3d at 1215 (considering claim that environmental
12 assessment for post-fire salvage sale "fails to address.... three
13 of the four other salvage sales proposed for the Tower Fire
14 area") (emphasis added); Resources Ltd. v. Robertson, 35 F.3d at
15 1305 (rejecting claims that forest plan EIS did not consider
16 "cumulative impact of non-Federal actions on.... grizzly bears").

17 In this case, then, the actions that have to be considered
18 in a cumulative effects analysis are those that are outside the
19 scope of actions contemplated by the Framework: examples would
20 include actions on private lands and past or future timber
21 harvest or grazing activities. Plaintiff has not identified any
22 such "other" actions, aside from road construction and timber
23 harvest activities encompassed within the Framework itself which
24 are properly analyzed as direct and indirect, and not cumulative,
25 effects of the Framework.

26 ///

27 ///

28 ///

1 To the extent that the 2004 Framework does envision road
2 construction and logging activities, those activities and their
3 associated impacts are in fact addressed as direct and indirect
4 effects. See, e.g., SNFPA 3279, 3282-83, 3307 (impacts of
5 roads); 3280-82 (impacts of fuel treatments), 3283-84 (timber
6 salvage; 3304-85 (impacts to individual species). The SEIS also
7 includes separate discussions of the effects of livestock grazing
8 upon affected species, including the willow flycatcher (SNFPA
9 3356-62, the foothill yellow-legged frog, SNFPA 3366-69, the
10 mountain yellow-legged frog, SNFPA 3369, the Yosemite toad, SNFPA
11 3371-75, the northern leopard frog, SNFPA 3375-76, and the
12 cascades frog, SNFPA 3376-78. Additionally, as indicated above,
13 the July 2003 BA incorporated by reference into the 2004
14 Framework also includes discussion of the potential direct and
15 indirect effects of the Framework upon ten different fish
16 species. See SNFPA 2232-2277. As a whole, this discussion is
17 sufficiently thorough to meet the requirements of NEPA. See
18 Resources Ltd. Inc. v. Robertson, 35 F.3d at 1306. To the extent
19 additional analysis is necessary when specific site-specific
20 projects are proposed, that discussion should occur then and not
21 at the programmatic level represented by the 2004 Framework.

22 In order to support its claim that a cumulative effects
23 analysis was triggered by the activities encompassed in the
24 Framework itself, Plaintiff argues that because the HFQLG Pilot
25 Project was a separate project from the overall 2004 Framework,
26 full implementation of that project, as contemplated by the
27 Framework, was sufficient to trigger a cumulative effects
28 analysis. See Pl.'s Opp'n to Defs.' Mot. for Summ. J., 18:9-12.

1 That contention is misplaced. The HFQLG Pilot Project is part
2 of, and controlled by, the 2004 Framework decision. See, e.g.,
3 SNFPA 3001 ("This decision provide for implementation of the
4 HFQLG Forest Recovery Pilot Project").

5 Plaintiff also alleges that road construction and logging
6 are connected actions that require a cumulative effects analysis,
7 citing Thomas v. Peterson, 753 F.2d 754 (9th Cir. 1985). See
8 Pl.'s Opening Points and Authorities, 31:5-7. Thomas, however,
9 is inapposite. In that case, the court properly considered the
10 cumulative impacts of two separate actions: one that contemplated
11 timber sales and the other to proposed building a road. Id. at
12 756-57. As the Ninth Circuit explained, these were separate
13 actions that could have cumulative effects because the road
14 construction and timber sales were not part of the same proposed
15 action. Id. at 759. In other words, because the proposed road
16 connection assessed by Thomas was outside the proposed action for
17 the timber sale, cumulative impacts had to be considered. Here,
18 on the other hand, the 2004 Framework entails both road
19 construction and logging activities. As such the need for the
20 cumulative effects analysis considered by Thomas is not present.

21
22 **D. The 2004 Framework also contains an adequate analysis**
23 **of mitigation measures for a programmatic EIS.**

24 In its Fourth Cause of Action, Plaintiff alleges that 2004
25 Framework does not contain an adequate analysis of mitigation
26 measures. Pl.'s Compl. ¶¶ 113-117. The level of detail
27 advocated by Plaintiff, however, is not required by a
28 programmatic EIS like the 2004 Framework.

1 A fully developed mitigation plan is not necessary. Instead, NEPA
2 requires only that mitigation be discussed in sufficient detail
3 to ensure that environmental consequences have been fully
4 evaluated. Laguna Greenbelt, Inc. v. U.S. Dep't of Transp., 42
5 F.3d 517, 528 & n.11 (9th Cir. 1994). The Forest Service is not
6 prohibited from waiting until site-specific actions are developed
7 before analyzing mitigation measures in more detail. See N.
8 Alaska Env'tl. Ctr. v. Lujan, 961 F.2d at 891 ("The detailed
9 analysis of mitigation measures... demanded by [Plaintiff] is
10 unwarranted at this stage. The alleged failure of the EISs to
11 consider mitigation measures.... does not foreclose later
12 analysis of [those] factors."). As indicated above, the 2004
13 Framework authorizes no ground-disturbing activities and
14 Plaintiff has not shown that more detailed mitigation measures
15 are not better reserved such activities are commenced.

16 Mitigation measures are in fact adequately disclosed by the
17 2004 Framework as a programmatic document. The SEIS describes,
18 for example, how the use of BMPs, soil protection strategies and
19 the AMS have been proved effect in the past and would mitigate
20 significant adverse effects to aquatic resources. See SNFPA
21 3278, 3281. The SEIS considered ten years of monitoring data for
22 road-related BMPs, which found that such measures adequately
23 protected water quality. SNFPA 3279. In addition, mitigation
24 measures for aquatic and riparian ecosystems are described in
25 greater detail in Appendix A of the SEIS. See SNFPA 3407-21 and
26 3428-29.

27 ///

28 ///

1 With respect to livestock grazing, mitigation measures discussed
2 include 1) the exclusion of grazing from areas with standing
3 water or saturated soils in wet meadow/riparian areas with
4 associated species habitat; 2) site-specific management of the
5 movement of livestock around and in wet areas; and 3) species
6 surveys in suitable unoccupied habitat. See SNFPA 3046 (for the
7 Yosemite toad). This contrasts with the circumstances present in
8 Neighbors of Cuddy Mountain v. U.S. Forest Serv., 137 F.3d 1372
9 (9th Cir. 1998), a case relied upon by Plaintiff, where the
10 "Forest Service did not even consider mitigation measures." Id.
11 at 1381. Instead, the description and analysis of mitigation
12 measures present here satisfies NEPA's "rule of reason" for
13 fairly evaluating environmental consequences.

14
15 **II. CLAIMS UNDER THE APA THAT DEFENDANTS FAILED TO PROVIDE THE**
16 **REQUISITE "REASONED ANALYSIS" FOR ADOPTION OF THE 2004**
17 **FRAMEWORK**

18 Plaintiff's independent APA challenge (as set forth in the
19 Fifth Cause of Action) is predicated on the contention that the
20 Forest Service summarily rejected the 2001 Framework without
21 identifying any sufficient new information or changed
22 circumstances and without reconciling its abrupt change of course
23 with previous findings to the effect that permitting more
24 flexibility for fuel treatments in old-growth forests posed an
25 unacceptable risk to the long-term sustainability of the Sierra
26 Nevada's habitat, wildlife, and ecosystems.

27 ///

28 ///

1 In response to Plaintiff's claim that the Bush
2 Administration promptly jettisoned the 2001 Framework developed
3 by the prior administration after assuming office, Defendants
4 correctly point out that "a change in administration brought
5 about by the people casting their votes is a perfectly reasonable
6 basis for an executive agency's reappraisal of the costs and
7 benefits of its programs and regulations." Motor Vehicle Mfrs.
8 Ass'n of U.S., Inc. v. State Farm Mut. Auto. Ins. Co., 463 U.S.
9 29, 59 (1983) (Rehnquist, J., concurring in part and dissenting
10 in part). In National Cable & Tel. Ass'n v. Brand X Internet
11 Servs. ("Brand X"), 545 U.S. 967 (2005), the Supreme Court again
12 reiterated that a new administration may lawfully elect to modify
13 its predecessor's policies:

14 An initial agency interpretation is not instantly
15 carved in stone. On the contrary, the agency.... must
16 consider varying interpretations and the wisdom of its
17 policy on a continuing basis, [citation omitted], for
18 example, in response to changed factual circumstances
19 or a change in administration...

18 Id. at 981 (internal quotations and citations omitted); see also
19 Gorbach v. Reno, 179 F.3d 1111, 1123-24 & n.16 (6th Cir. 1999)
20 (federal agencies have "inherent authority to reconsider their
21 own decisions," as the power to decide includes the power to
22 reach a different conclusion). Moreover, as counsel for the
23 California Forestry Association points out, "there is no
24 objective reason why the 2001 Framework, adopted in the last days
25 of one Administration, deserves special sanctity" from the next.
26 (Cal. Forestry Ass'n Brief, 17, n.13).

27 ///

28 ///

1 Nonetheless, to the extent that the 2004 Framework
2 represented a significant departure from the policies embodied by
3 its 2001 predecessor, the rationale for that change must be
4 adequately articulated. As long as the agency provides a
5 procedural explanation for the change of course, the APA is
6 satisfied. Brand X, 545 U.S. at 981; Springfield Inc. v.
7 Buckles, 292 F.3d 813, 819 (D.C. Cir. 2002). An agency changing
8 its course must "supply a reasoned analysis for the change beyond
9 that which may be required when an agency does not act in the
10 first instance." See Motor Vehicle Mfrs. Assoc. v. State Farm
11 Mut. Auto. Ins. Co., 463 U.S. at 42. "[T]he agency must examine
12 the relevant data and articulate a satisfactory explanation for
13 its action including a rational connection between the facts
14 found and the decision made." Id. at 43. The standard of review
15 to be employed is not whether an agency's decision is supported
16 by substantial evidence; instead, the Court must uphold a
17 decision for which an administrative hearing is not required
18 unless it is arbitrary or capricious because the requisite
19 reasoned analysis is lacking. See 5 U.S.C. § 706(2)(A);
20 Wilderness Soc'y v. Thomas, 188 F.3d 1130, 1136 (9th Cir. 1999).

21 In analyzing the propriety of the 2004 Framework, it should
22 also be noted that claims under the APA must be viewed in light
23 of the substantive statutory authority under which the agency
24 acts. The National Forest Management Act ("NFMA"), which
25 establishes criteria for stewardship of the nation's forests,
26 allows the Forest Service to adopt an amendment to a forest plan
27 at any time. 16 U.S.C. § 1604(f)(4).

28 ///

1 Significantly, too, the NFMA goes on to require that the Forest
2 Service "provide for multiple use and sustained yield" of
3 products and services, including "coordination of outdoor
4 recreation, range, timber, watershed, wildlife and fish, and
5 wilderness." 16 U.S.C. § 1604(e)(1). In striking the
6 appropriate balance of resources the Forest Service is also
7 expected to "provide for diversity of plant and animal
8 communities (1604(g)(3)(B), and to maintain viable populations of
9 species. See 36 C.F.R 219.19 (1982); SNFPA 3011. The case law
10 confirms that forest planning statutes incorporate considerations
11 of multiple use. Sierra Club v. Espy, 38 F.3d 792, 795 (5th Cir.
12 1994).

13 The burden is on Plaintiff to demonstrate that the Forest
14 Service's action is flawed; otherwise, the agency's action is
15 given a presumption of regularity. See Clyde K. v. Puyallup
16 School Dist., No. 3, 35 F.3d 1396, 1398 (9th Cir. 1994). This
17 confers broad discretion to the Forest Service in its balancing
18 of different resource uses, including timber and wildlife. Such
19 discretion permits the Forest Service to determine the mix of
20 uses that best suits the public interest. See 16 U.S.C. § 529
21 (directing Secretary of Agriculture to administer the National
22 Forest Service for multiple uses and sustained yield); Perkins v.
23 Bergland, 608 F.2d 803, 806 (9th Cir. 1979) (the mandate to manage
24 for multiple uses "'breathe[s] discretion at every pore.'" (citation omitted); Intermtn. Forest Ass'n v. Lynq, 683 F. Supp.
25 1330, 1337-38 (D. Wyo. 1988).

27 ///

28 ///

1 Discretion in managing for multiple use is reflected in
2 pertinent forest management statutes and is also incorporated
3 into the forest planning. Where the factual issue concerns an
4 opinion or judgment on some environmental or silvicultural
5 matter, on such a "scientific determination.... a reviewing court
6 must generally be at its most deferential." Baltimore Gas &
7 Elec. Co. v. Natural Resources Def. Council, 462 U.S. 87, 103
8 (1983). An "agency must have discretion to rely on the
9 reasonable opinions of its own qualified experts even if, as an
10 original matter, a court might find contrary views more
11 persuasive." Marsh v. Oregon, 490 U.S. 360, 378 (1989).

12 Having determined that considerations of multiple use may be
13 reweighed by the Forest Service, we now turn to specific resource
14 considerations in assessing whether the Forest Service provided
15 the requisite "reasoned analysis" in adopting the provisions of
16 the 2004 Framework.

17 18 **A. Fire and Fuels Management** 19

20 Contrary to Plaintiff's contention, the record does contain
21 support for the Forest Service's conclusion that the 2004
22 Framework would better address fire and fuels concerns than its
23 predecessor. The Management Review Team (assembled by the
24 Regional Forester to address specific concerns raised by the
25 Forest Service following adoption of the 2001 Framework)
26 evaluated the fuels strategy encompassed in the 2001 Framework
27 and identified three critical areas meriting improvement. SNFPA
28 3100-3101.

1 First, the Team identified the need for fuel treatments to be
2 strategically placed across the landscape. Secondly, the group
3 recommended that enough material be removed to ensure that
4 wildfires burn at lower intensities and slower speeds in
5 treatment areas. Finally, the Management Review Team recognized
6 the need for cost efficient reduction measures that would allow
7 program goals to be accomplished within the confines of
8 appropriated funds. Id.

9 The 2004 Framework, in response to those suggestions,
10 provides more flexibility to strategically locate treatments
11 across the landscape. SNFPA 3290, 3291. Because the 2004
12 Framework does not restrict the location of mechanical treatments
13 as much as the 2001 ROD, fire behavior can more effectively be
14 modified than under the 2001 Framework, which dramatically
15 limited such treatments in many areas. See SNFPA 2995; 3290,
16 3291 (comparing rate of spread, flame length, scorch height, and
17 projected mortality). The 2004 Framework also results in the
18 removal of more hazardous fuels, making mechanical treatment more
19 effective. See SNFPA 3290 (noting that the effectiveness of
20 mechanical treatments under the 2001 ROD was "greatly
21 compromise[d]" by the fact that 30 percent of the acreage
22 treatment was limited to removing trees less than six inches in
23 diameter). Finally, the increased cost efficiency of the 2004
24 Framework is illustrated by the fact that while its more
25 comprehensive treatment objectives would be higher and cost more
26 to implement, it would also generate 3.5 times more revenue
27 annually to offset the higher costs necessary to more effectively
28 reduce fire risk to the landscape. See SNFPA 3293-94.

1 The fact that the 2004 Framework addressed the concerns voiced by
2 the Management Review Team with regard to its 2001 predecessor
3 provides a reasoned basis for changing the Forest Service's
4 approach to fire and fuels management, thereby satisfying the
5 APA.

6 In addition, it was reasonable for the Forest Service to
7 choose a treatment option that, after a decade of implementation,
8 would result in fewer acres experiencing stand-replacing¹¹
9 wildfires. See SNFPA 3287, 3288. Significantly, too, the
10 management review team also identified numerous practical
11 difficulties in implementing the 2001 Framework. It identified
12 difficulties in classifying vegetation at the small (one-acre
13 increment) scale required by the 2001 ROD that made it subject to
14 inconsistent classification. See SNFPA 1947, 3290-01, 3612. It
15 further found that the 2001 Framework relied upon relatively
16 small discrepancies in canopy cover that were difficult to
17 consistently measure with any precision. SNFPA 1946-48.
18 Importantly, also, more than 80 percent of district rangers
19 responding to a survey reported that 2001 Framework standards and
20 guidelines prevented effective treatment. SNFPA 1928, see also
21 SNFPA 2995.

22 ///

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25 ///

27 ¹¹ A stand-replacing fire is one where most or all
28 vegetation is killed, thereby destroying associated habitat for
existing species. See SNFPA 3287.

1 It must further be emphasized that there is adequate support
2 in the record for the proposition that the 2004 Framework would
3 better meet the Forest Service's goal of moving forest landscapes
4 towards a natural fire regime which, in the long run, would
5 result in more effective fuels treatment. See SNFPA 3287, 3288
6 (Table 4.2.4a, Figure 4.2.4b). The Sierra Nevada faces a
7 situation where nearly 8 million of the 11.5 million acres that
8 comprise national forests in the region are in vegetation
9 condition classes that pose moderate to high risks from wildland
10 fires. SNFPA 2998.¹² The proliferation of smaller, less fire-
11 resistant tree species (which under natural conditions had in
12 kept in check by widespread, low severity fires) has created a
13 highly-combustible fuel bed, as well as a fire ladder serving to
14 carry ground fire into the crowns of larger trees. Given that
15 potential tinderbox, it was reasonable for the Forest Service to
16 explore and adopt measures to more effectively address fire
17 danger by reducing the understory of smaller and less desirable
18 vegetation. The 2004 Framework points out that the magnitude of
19 this increasing danger has been borne out by devastating fires
20 throughout the Western United States in recent years that has
21 occasioned an "unacceptable loss of life, property and critical
22 habitat" calling out for a more effective alteration of current
23 forest conditions. Id.

24
25 ¹² This acreage has been denoted as falling within Classes 2
26 and 3, which represent areas where fire regimes have been so
27 altered from their historic range of fire return interval that
28 they are at "moderate risk of losing key ecosystem components"
due to wildland fire (Class 2) and areas which are at "greatest
risk of ecological collapse" because it has been so long since
fire operated as a process in the ecosystem. Id.

1 Given such conditions, it was understandable that the
2 current Administration felt less comfortable with the 2001
3 approach of fighting "fire with fire", which relied more heavily
4 on prescribed burning to reduce overly-dense forests with the
5 hope those fires did not get out of control. This constituted a
6 rational basis for moving, as the 2004 Framework did, to greater
7 reliance on mechanical methods for thinning overly dense forests.
8 SNFPA 2995.

9 At the same time, much of the increased fuel treatments
10 entailed within the 2004 Framework were attributable to full
11 implementation of the HFQLG Act Pilot Project, which, as stated
12 above, represented a congressional mandate to test the efficacy
13 of improved fires suppression through a combination of fire
14 breaks, group selection logging and individual logging. SNFPA
15 1918. The Management Review Team found that the 2001 ROD
16 "severely limit[ed]" implementation of the HFQLG Pilot Project,
17 as it did not allow the full extent of group selection envisioned
18 by the HFQLG Act. SNFPA 1967, 1970. Experimentation with such
19 techniques is a valuable tool in refining adaptive management
20 techniques, whereas the 2001 Framework's more passive approach
21 reduced the ability to experiment and obtain information. See
22 SNFPA 3001-02, 3139-43. Such experimentation is anticipated by
23 the provisions of the NFMA (16 U.S.C. § 1604(g)(3)(C), and the
24 management review team concluded that a new direction could more
25 thoroughly test group selection and better fulfill the goals of
26 the HFQLG Act. SNFPA 1967, 1970; see also SNFPA 3002.

27 ///

28 ///

1 In addition to finding that the impacts to the California
2 spotted owl occasioned by full implementation of the Pilot
3 Project were less than originally believed (as discussed in more
4 detail, infra), the Team also found that the community stability
5 goals of the HFQLG Act were not being met. See SNFPA 1967, 1968
6 (a "key component" of the Pilot Project is to "provide socio-
7 economic benefit through timber and biomass production, and
8 therefore enhance community stability in the project area.");
9 SNFPA 1969, 1970 ("the community stability, and socio-economic
10 aspects of the Pilot Project are not being implemented"); SNFPA
11 3001. See SNFPA 3386, 3697 ("Alternative S2 is designed to
12 better meet[] the goals envisioned by the Pilot Project and will
13 contribute toward producing socio-economic benefits of enhancing
14 community stability in the pilot project area."). Timber
15 production is a legitimate objective in national forest
16 management and is one of the competing resources the Forest
17 Service is responsible for managing.

18 Because the record contains adequate support for the
19 conclusion that the 2004 Framework would more effectively reduce
20 landscape fuels, would better protect communities from the risk
21 of catastrophic wildfire, and would further permit fulfillment of
22 the legitimate objectives of the congressionally mandated HFQLG
23 Act, the change in resource use and emphasis represented by the
24 2004 Framework's provisions concerning fuels and fire managements
25 well within the agency's statutory discretion and consequently do
26 not run afoul of the provisions of the APA.

27 ///

28 ///

1 By revisiting the unnecessary assumptions of the 2001 Framework
2 and by better providing for community stability, the Forest
3 Service decided upon a different resource balance that would
4 address both the needs of wildlife and the duty under the HFQLG
5 Act to fully implement the Pilot Project. See SNFPA 3338-39,
6 3608-09.

7 8 **B. Grazing Impacts** 9

10 In enacting changes to grazing opportunities available under
11 the 2004 Framework, Plaintiff also argues that no changed
12 circumstances were present to justify any change from the grazing
13 direction mandated by the 2001 Framework. According to
14 Plaintiff, the Forest Service was aware at the time it enacted
15 the 2001 Framework that it was reducing opportunities for grazing
16 on national forest lands. In changing the standards for
17 permissible grazing under the 2004 Framework, Plaintiff contends
18 that absent altered circumstances and a corresponding "reasoned
19 analysis", the Forest Service's actions contravened the mandate
20 of the APA.

21 The 2004 Framework makes it clear, however, that the full
22 impact upon grazing of the 2001 Framework was not made clear
23 until after its enactment. The 2004 SEIS points out that grazing
24 effects were considered only "in very general terms" in 2001,
25 with information at that time still lacking about the
26 distribution of occupied habitat for species like the Yosemite
27 toad. SNFPA 3392.

28 ///

1 Critical survey information for the willow flycatcher, a bird
2 species depending on habitat where grazing occurs, was also
3 absent. Id. That dearth of information had been corrected by
4 the time the 2004 Framework was adopted. See id. ("Much of the
5 field survey work has since been done and this new information
6 provides a better foundation from which to evaluate effects.").

7 After collecting additional survey data, the Management
8 Review Team found that at least two grazing allotments would go
9 to non-use based on a restriction to late season grazing at
10 unoccupied sites. SEIS __01_00063-65.¹³ The Team also found
11 that the 2001 ROD actually provided a disincentive for grazing
12 permittees to facilitate species recovery. Grazing permittees,
13 for example, had worked with the Forest Service to develop
14 protections for nesting willow flycatchers in certain areas with
15 concentrated flycatcher territories. Those affirmative
16 protections had ceased with adoption of the 2001 Framework with
17 only a passive meadow closure and non-use mandates in effect.
18 Id.

19 Under the 2004 Framework, on the other hand, change was
20 initiated that improved the ability to develop site-specific
21 plans tailored to address conservation at a local level while
22 still permitting grazing. While 2004 ROD still requires surveys
23 and protections for occupied sites, it permits grazing on
24 occupied sites where the Agency has developed a site-specific
25 management strategy. SNFPA 3048.

26
27 ¹³ This designation refers to materials contained on CDs
28 within the administrative record, with the first designation
referring to the CD volume and the second designation the bates-
stamped number on the bottom of the cited page.

1 That strategy focuses on "protecting the nest site and associated
2 habitat during the breeding season and the long-term
3 sustainability of suitable habitat at breeding sites." Id. This
4 comports with the Review Team's observation that impacts from
5 grazing (such as flycatcher nest bumping) could be addressed by
6 working with permittees to adjust the timing, location, and
7 intensity of grazing to keep livestock out of willow flycatcher
8 territories during the bird's breeding period. SEIS_01_00067.

9 Similarly, for the toad, the 2004 Framework excludes grazing
10 from occupied habitat except where an interdisciplinary team has
11 developed a site-specific plan to successfully manage livestock
12 around those areas. SNFPA 3001.

13 The 2004 FSEIS candidly acknowledges that over half of the
14 124 known willow flycatcher sites are in or near active grazing
15 allotments, making contact between livestock and flycatchers
16 likely. SNFPA 3221. The FSEIE further recognizes data
17 suggesting that population trends for the willow flycatcher in
18 the north-central Sierra Nevada are not encouraging. SNFPA 3322.
19 Nonetheless, by allowing site-specific plans that permit grazing
20 during periods not apt to significantly impact either the
21 flycatcher or the toad, and thereby increasing the use of certain
22 allotments, the Forest Service's actions are neither arbitrary or
23 capricious for purposes of the APA. This decision to strike a
24 different multiple use balancing between habitat protection and
25 grazing is supported by the record, and amounts to a reasonable
26 exercise of the Forest Service's discretion, as articulated
27 above, to emphasize a different mix of the resources it is
28 entrusted to manage.

1 In addition, with regard to grazing, it must be pointed out
2 that the 2004 Framework does not eliminate environmental
3 protections. The 2004 Framework retains numerous components of
4 the 2001 ROD that are important to the protection of riparian and
5 aquatic habitat. See SNFPA 3000 (2004 ROD retains "Critical
6 Aquatic Refuges, the Riparian Conservations Areas, and the goals
7 of the Aquatic Management Strategy ["AMS"]"). The 2004 ROD also
8 built upon two years of field surveys for the Yosemite toad and
9 willow flycatcher, as well as a conservation assessment for the
10 flycatcher, by requiring an interagency conservation strategy for
11 the flycatcher that will incorporate input from the State of
12 California and the FWS. Id.

13 In sum, whether looking at the 2004 Framework's treatment of
14 fuels and fire, its protection to wildlife, or the balance struck
15 between competing interests like grazing and community
16 protection, the Forest Service had the policy discretion to
17 change the Framework to provide more or less emphasis to any
18 given resource or interest, so long as essential protections were
19 afforded. In managing forests, every decision involves tradeoffs
20 among competing use values and the competing interests of
21 different species. Sierra Club v. Espy, 38 F.3d 792, 800-02 (5th
22 Cir. 1994). Such determinations involve the weighing of both
23 technical policy concerns and scientific methodologies, functions
24 in which this Court should ordinarily not interfere. See, e.g.,
25 The Lands Council v. McNair, 537 F.3d 981, 988 (9th Cir. 2008)
26 (explaining that choosing between competing scientific approaches
27 is not a "proper role" for the court).

28 ///

1 Instead, deference should be afforded to the Forest Service, and
2 its methodological choices, in making the hard choices necessary
3 for forest management. Id. at 991.

4 Under this standard, the policy values the Forest Service
5 emphasized to a greater extent in the 2004 Framework were not
6 arbitrary or capricious so as to violate the APA. Those policy
7 choices were within the Forest Service's "wide discretion to
8 weigh and decide proper" multiple uses under the NFMA and the
9 Multiple-Use Sustained-Yield Act of 1960, 16 U.S.C § 528 et seq.
10 Big Hole Ranchers Ass'n v. U.S. Forest Serv., 686 F. Supp. 256,
11 264 (D. Mont. 1988).

12
13 **CONCLUSION**
14

15 Based on the foregoing, and following careful review and
16 consideration of the parties' Cross Motions for Summary Judgment
17 in this matter, the Court GRANTS Defendants' Motion for Summary
18 Judgment and consequently DENIES the corresponding Cross Motion
19 for Summary Judgment filed on behalf of Plaintiff.¹⁴ The Clerk
20 is hereby directed to close this file.

21 IT IS SO ORDERED.

22 Dated: September 18, 2008

23
24 

25 MORRISON C. ENGLAND, JR.
26 UNITED STATES DISTRICT JUDGE

27
28 ¹⁴ Because oral argument will not be of material assistance,
the Court orders this matter submitted on the briefs. E.D. Cal.
Local Rule 78-230(h).